

**Compliance Report on Corporate Governance**

**1. Name of Listed Entity: Mint Investments Limited**

**2. Quarter Ending: 31st March, 2024**

**I. Composition of Board of Directors**

Title (Mr./ Ms.)	Name of the Director	PANS & DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)&	Initial Date of Appointment	Date of re-appointment	Date of Cessation	Tenure*	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity (Refer Regulation 17A (1) of Listing Regulations)	No. of Independent Directorship in Listed entities including this Listed Entities (in reference to proviso Regulation 17A(1))	No. of Memberships in Audit / Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) Note 1	No. of post of Chairperson in Audit / Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Chandra Kumar Dhanuka	PAN-ADGPD0857K DIN-00005684	Non-Executive Chairman / Promoter	30.08.1988	30.08.1988	-	-	19.01.1954	NA	-	7	2	10	2
Mr.	Mrigank Dhanuka	PAN-ADLPD0161H DIN-00005666	Non-Executive / Non-Independent	31.01.2003	31.01.2003	-	-	12.08.1980	NA	-	5	0	1	0
Mrs.	Aruna Dhanuka	PAN-ADQPD2489R DIN-00005677	Non-Executive / Non-Independent	29.06.1995	30.06.2014	-	-	05.09.1959	NA	-	4	0	3	0
Mrs.	Bharati Dhanuka	PAN-AAQPI2406B DIN-02397650	Non-Executive / Non-Independent	22.04.2009	29.08.2019	-	-	12.03.1980	NA	-	4	0	0	0
Mr.	Yashwant Kumar Daga	PAN-AFYPD7477F DIN-00040632	Non-Executive / Independent	31.01.2006	01.10.2019	-	111 months	07.03.1961	NA	-	6	3	10	1
Mr.	Anil Bhutoria	PAN-AEAPB103SD DIN-00705794	Non-Executive / Independent	22.04.2009	01.10.2019	-	111 months	01.12.1962	NA	-	1	1	0	0
Mr.	Bhanwar Lal Chandak	PAN-ACLPC2875N DIN-00057273	Non-Executive / Independent	31.03.2004	01.10.2019	-	111 months	15.08.1955	NA	-	1	1	2	1
Mr.	Rajendra Kumar Gupta	PAN-ADPPG2816A DIN-00012336	Non-Executive / Independent	13.11.2017	14.11.2022	-	74 months	04.05.1949	Yes	31.08.2022	1	1	2	1

Whether Regular Chairperson appointed- Yes

Whether Chairperson is related to Managing Director or CEO-No



\* PAN number of any director would not be displayed on the website of Stock Exchange

\* Category of directors means Executive / Non-Executive / Independent / Nominee, if a director fits into more than one category write all categories separating them with hyphen

\* To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

Note 1: No. of Memberships in Audit / Stakeholder Committee also includes the Chairmanship.





IV. Meeting of Committees					
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)*
Audit Committee 13.02.2024	Yes	3	2	11.11.2023 15.12.2023	59
Stakeholder Relationship Committee 13.02.2024	Yes	3	2	10.10.2023	
Nomination and Remuneration Committee 13.02.2024	Yes	3	3	15.12.2023	
* This information has to be mandatorily be given for Audit Committee, for rest of the committees giving the information is optional.					
**to be filled in only for the current quarter meetings					
V. Related Party Transactions					
Subject			Compliance Status (Yes/No/NA) <small>refer note below</small>		
Whether prior approval of audit committee obtained			Yes		
Whether shareholder approval obtained for material RPT			N.A		
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by			N.A		
Note					
VI. Affirmations					
1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - Yes					
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.					
a. Audit Committee - Yes					
b. Nomination & Remuneration Committee - Yes					
c. Stakeholders Relationship Committee - Yes					
d. Risk Management Committee (applicable to the top 1000 listed entities) - NA					
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. - Yes					
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. - Yes					
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: Nil					
Details of cyber security incidence					
Whether as per Regulation 27 (2) (ba) of SEBI (LODR), 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter					No
Date of the event	Nil	Brief Details of the event			Nil
For Mint Investments Limited					
 Gajal Agarwal Company Secretary & Compliance Officer ACS 61193					
					
Note: Information at Table I and II above need to be mandatorily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.					

MINT INVESTMENTS LIMITED		Annexure II	
Quarter ending: 31st March, 2024			
I. Disclosure on Website in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015			
Sl. No.	Item	Compliance Status (Yes / No / NA)	Website Address
1	Details of Business	Yes	<a href="https://www.mintinvestments.in/about_company.html">https://www.mintinvestments.in/about_company.html</a>
2	Terms and Conditions of Appointment of Independent Directors	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>
3	Composition of various committees of Board of Directors	Yes	<a href="https://www.mintinvestments.in/corporate_governance.html">https://www.mintinvestments.in/corporate_governance.html</a>
4	Code of Conduct of Board of Directors and Senior Management Personnel	Yes	<a href="https://www.mintinvestments.in/corporate_governance.html">https://www.mintinvestments.in/corporate_governance.html</a>
5	Details of establishment of Vigil Mechanism / Whistle Blower Policy	Yes	<a href="https://www.mintinvestments.in/downloads/MIL-Vigil-Mechanism-and-Whistle-Blower-Policy.pdf">https://www.mintinvestments.in/downloads/MIL-Vigil-Mechanism-and-Whistle-Blower-Policy.pdf</a>
6	Criteria of making payments to Non-Executive Directors	Yes	<a href="https://www.mintinvestments.in/downloads/Nomination-and-Remuneration-Policy.pdf">https://www.mintinvestments.in/downloads/Nomination-and-Remuneration-Policy.pdf</a>
7	Policy on dealing with related party transactions	Yes	<a href="https://www.mintinvestments.in/downloads/Related-Party-Transaction-Policy.pdf">https://www.mintinvestments.in/downloads/Related-Party-Transaction-Policy.pdf</a>
8	Policy for determining 'material' subsidiaries	Yes	<a href="https://www.mintinvestments.in/downloads/Policy-for-determining-Material-Subsidiaries.pdf">https://www.mintinvestments.in/downloads/Policy-for-determining-Material-Subsidiaries.pdf</a>
9	Details of familiarization programmes imparted to Independent Directors	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>
10	Contact information of the Designated Officials of the Listed Entity who are responsible for assisting and handling Investor Grievances	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>
11	E-mail address for grievance redressal and other relevant details	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>
12	Financial results	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>
13	Shareholding Pattern	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>
14	Details of Agreements entered into with the media companies and / or their associates	NA	
15	Schedule of analyst or institutional investor meet and presentation made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA	
16	New name and the old name of the listed entity	NA	
17	Advertisements as per regulation 47(1)	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>
18	Credit rating or revision in credit rating obtained	NA	
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA	
20	Whether company has provided information under separate section on its website as per Regulation 46(2)	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>
21	Materiality Policy as per Regulation 30	Yes	<a href="https://www.mintinvestments.in/downloads/Policy-for-determination-of-materiality-of-an-event-or-information.pdf">https://www.mintinvestments.in/downloads/Policy-for-determination-of-materiality-of-an-event-or-information.pdf</a>
22	Dividend Distribution Policy as per Regulation 43A (as applicable)	NA	
23	It is certified that these contents on the website of the listed entity are correct	Yes	<a href="https://www.mintinvestments.in/investors.html">https://www.mintinvestments.in/investors.html</a>



## Annexure II

II. Annual Affirmations			
Sl. No.	Particulars	Regulation Number	Compliance Status (Yes / No / NA)
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or eligibility	16(1)(b) & 25(6)	Yes
2	Board Composition	17(1), 17(1A) & 17(1B)	Yes
3	Meeting of Board of Directors	17(2)	Yes
4	Quorum of Board Meeting	17(2A)	Yes
5	Review of Compliance Reports	17(3)	Yes
6	Plans for orderly succession of appointments	17(4)	Yes
7	Code of Conduct	17(5)	Yes
8	Fees / Compensation	17(6)	Yes
9	Minimum Information	17(7)	Yes
10	Compliance Certificate	17(8)	Yes
11	Risk Assessment & Management	17(9)	Yes
12	Performance Evaluation of Independent Directors	17(10)	Yes
13	Recommendation of Board	17(11)	Yes
14	Maximum number of Directorships	17A	Yes
15	Composition of Audit committee	18(1)	Yes
16	Meeting of Audit Committee	18(2)	Yes
17	Composition of Nomination & Remuneration Committee	19(1) & (2)	Yes
18	Quorum of Nominaton and Remuneration Committee meeting	19(2A)	Yes
19	Meeting of Nomination & Remuneration Committee	19(3A)	Yes
20	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes
21	Meeting of Stakeholders Relationship Committee	20(3A)	Yes
22	Composition and role of risk management committee	21(1),(2),(3),(4)	NA
23	Meeting of Risk Management Committee	21(3A)	NA
24	Vigil Mechanism	22	Yes
25	Policy for Related Party Transaction	23(1),(1A),(5),(6),(7) & (8)	Yes
26	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2),(3)	NA
27	Approval for material related party transactions	23(4)	NA
28	Disclosure of related party transactions on consolidated basis	23(9)	Yes
29	Composition of Board of Directors of unlisted material Subsidiary	24(1)	NA
30	Other Corporate Governance requirements with respect to subsidiary of listed ent	24(2),(3),(4),(5) & (6)	NA
31	Annual Secretarial Compliance Report	24(A)	Yes
32	Alternate Director to Independent Director	25(1)	NA
33	Maximum Tenure	25(2)	Yes
34	Meeting of Independent Directors	25(3) & (4)	Yes
35	Familiarization of Independent Directors	25(7)	Yes
36	Declaration from Independent Dirctors	25(8) & (9)	Yes
37	D & O Insurance for Independent Directors	25(10)	NA
38	Memberships in Committees	26(1)	Yes
39	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes
40	Disclosure of Shareholding by Non-Executive Directors	26(4)	Yes
41	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes
<b>III. Affirmations</b>			
The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to			Yes
* The Listed Entity has no Subsidiary.			
For Mint Investments Limited			
 Gajal Agarwal Company Secretary & Compliance Officer ACS 61193			
			

## Annexure IV

Name of Listed Entity: Mint Investments Limited

Half year Ending: 31st March, 2024

## I. Disclosure of Loans / guarantees / comfort letters / securities etc. refer note below

## A Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	-	-
Promoter Group or any other entity controlled by them	-	-
Directors (including relatives) or any other entity controlled by them	-	-
KMPs or any other entity controlled by them	-	-

## B Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
Promoter or any other entity controlled by them	-	-	-
Promoter Group or any other entity controlled by them	-	-	-
Directors (including relatives) or any other entity controlled by them	-	-	-
KMPs or any other entity controlled by them	-	-	-

## C Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	-	-	-
Promoter Group or any other entity controlled by them	-	-	-
Directors (including relatives) or any other entity controlled by them	-	-	-
KMPs or any other entity controlled by them	-	-	-

## II. Affirmations:

All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt)

For Mint Investments Limited

*Piyush Kumar*  
Piyush Kumar  
Chief Financial Officer



## Note

- 1 These disclosures shall exclude any loan (or other form of debt), guarantee / comfort letter (by whatever name called) or security provided in connection
  - a) by a government company to/ for the Government or government company
  - b) by the listed entity to/for its subsidiary [and joint-venture company] whose accounts are consolidated with the listed entity
  - c) by a banking company or an insurance company ; and
  - d) by the listed entity to its employees or directors as a part of the service conditions
- 2 If the Listed Entity would like to provide any other information, the same may be indicated as Para D in the above table..