





## Compliance Report on Corporate Governance

1.	Name of Listed Entity	<b>MINT INVESTMENTS LIMITED</b>
2.	Quarter ending	30.09.2018

### **I. Composition of Board of Directors**

Title (Mr./ Ms)	Name of the Director	Pan <sup>s</sup> & Din	Category (Chairperson / Executive / Non- Executive / Independent / Nominee)&	Date of Appointment in the current term / Cessation	Tenure*	No. of Directorship in Listed Entities including this Listed Entity (Refer Regulation 25(1) of Listing Regulations)	No. of Memberships in Audit/ Stakeholder Committee(S) including this Listed Entity (Refer Regulation 26(1) of Listing Regulations)	No. of Post of Chairperson in Audit/ Stakeholder Committee held in Listed Entities including this Listed Entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	C.K. Dhanuka	PAN- ADGPD0857K DIN-00005684	Non- Executive Chairman/ Promoter	30.08.1988		2	10	2
Mr.	Mrigank Dhanuka	PAN- ADLPD0161H DIN-00005666	Non- Executive/ Non- Independent	31.01.2003		-	1	-
Mrs.	Aruna Dhanuka	PAN- ADQPD2489R DIN-00005677	Non- Executive/ Non- Independent	29.06.1995		-	3	-
Mr.	Yashwant Kumar Daga	PAN- AFYPD7477F DIN-00040632	Independent / Non- Executive	31.01.2006	48 months	2	10	-
Mr.	Anil Bhutoria	PAN- AEAPB1038D DIN-00705794	Independent/ Non- Executive	22.04.2009	48 months	1	-	-
Mrs.	Bharati Dhanuka	PAN- AAQPJ2406B DIN- 02397650	Executive (Managing Director) / Non- Independent	22.04.2009		-	-	-
Mr.	B.L. Chandak	PAN- ACPLC2875N DIN-00057273	Independent / Non- Executive	31.03.2004	48 months	1	3	2
Mr.	Rajendra Kumar Gupta	PAN- ADPPG2816A DIN-00012336	Independent / Non- Executive	13.11.2017	11 months	1	2	1

<sup>s</sup> PAN of any director would not be displayed on the website of Stock Exchange.

& Category of directors means executive / non-executive / independent / Nominee. If a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.



**II. Composition of Committees**

Name of Committee	Name of Committee members	Category (Chairperson / Executive / Non-Executive / Independent / Nominee)
1. Audit Committee	Mr. B.L. Chandak Mr. R. K. Gupta Mr. Yashwant Daga Mr. C. K. Dhanuka	Chairman/ Non-Executive Independent Non-Executive Independent Non-Executive Independent Non-Executive- Non-Independent
2. Nomination & Remuneration Committee	Mr. Y. K. Daga Mr. B.L. Chandak Mr. Anil Bhutoria Mr. R. K. Gupta	Chairman /Non-Executive Independent Non-Executive Independent Non-Executive Independent Non-Executive Independent
3. Stakeholders Relationship Committee'	Mr. R. K. Gupta Mr. B. L. Chandak Mr. Y. K. Daga Mrs. Aruna Dhanuka	Chairman/ Non-Executive Independent Non-Executive Independent Non-Executive Independent Non-Executive Non-Independent
4. Risk Management Committee	Mr. C. K. Dhanuka Mrs. Aruna Dhanuka Mr. B. L. Chandak	Chairman/ Non-Executive / Non Independent Non- Executive Non-Independent Non-Executive Independent

\* Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen.

**III. Meeting of Board of Directors**

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
29.05.2018	08.08.2018	70 Days

**IV. Meeting of Committees**

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)
Audit Committee 08.08.2018	Yes, all 4 (four) members were present	29.05.2018	70 Days

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information.

**V. Related Party Transactions**

Subject	Compliance status (Yes/No/NA) <sup>refer note below</sup>
Whether prior approval of audit committee obtained	N.A.
Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	N.A.

**Note**

- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- If status is "No" details of non-compliance may be given here.

**VI. Affirmations**

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015: **YES**
- The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee: **YES**
  - Nomination & remuneration committee: **YES**
  - Stakeholders relationship committee: **YES**
  - Risk management committee (applicable to the top 100 listed entities): **NA**



The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015: **YES**

4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015: **YES**

5. Any comments/observations/advice of Board of Directors may be mentioned here: **YES**

**For Mint Investments Limited**



Director

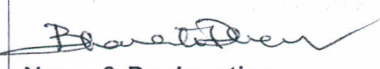
DIN- 02397650

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formation at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change in information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

## ANNEXURE III

Format to be submitted by listed entity at the end of 6 months after end of financial year along-with second quarter report of next financial year

I Affirmations		
Broad heading	Regulation Number	Compliance status (Yes/No/NA) <sup>refer note below</sup>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes  (Business Responsibility Report is not applicable to the Company and hence not uploaded on the Company's website.)
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes
<b>Note</b> 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here. 3 If the Listed Entity would like to provide any other information the same may be indicated here.		
 <b>Name &amp; Designation</b> Bharati Dhanuka Director		