

## Compliance Report on Corporate Governance

1. Name of Listed Entity: Mint Investments Limited

2. Quarter Ending: 31st December, 2023

### I. Composition of Board of Directors

Title (Mr./Ms.)	Name of the Director	PANS & DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)&	Initial Date of Appointment	Date of re-appointment	Date of Cessation	Tenure*	Date of Birth	Whether special resolution passed?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity (Refer Regulation 17A (1) of Listing Regulations)	No. of Independent Directorship in Listed entities including this Listed Entities (in reference to proviso Regulation 17A(1))	No. of Memberships in Audit / Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations) Note 1	No. of post of Chairperson in Audit / Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Chandra Kumar Dhanuka	PAN-ADGPD0857K DIN-00005684	Non-Executive Chairman / Promoter	30.08.1988	30.08.1988	-	-	19.01.1954	NA	-	7	2	10	2
Mr.	Mrigank Dhanuka	PAN-ADLDP0161H DIN-00005666	Non-Executive / Non-Independent	31.01.2003	31.01.2003	-	-	12.08.1980	NA	-	5	0	1	0
Mrs.	Aruna Dhanuka	PAN-ADQPD2489R DIN-00005677	Non-Executive / Non-Independent	29.06.1995	30.06.2014	-	-	05.09.1959	NA	-	4	0	3	0
Mrs.	Bharati Dhanuka	PAN-AAQPJ2406B DIN-02397650	Non-Executive / Non-Independent	22.04.2009	29.08.2019	-	-	12.03.1980	NA	-	4	0	0	0
Mr.	Yashwant Kumar Daga	PAN-AFYPD7477F DIN-00040632	Non-Executive / Independent	31.01.2006	01.10.2019	-	108 months	07.03.1961	NA	-	6	3	10	1
Mr.	Anil Bhutoria	PAN-AEAPB1038D DIN-00705794	Non-Executive / Independent	22.04.2009	01.10.2019	-	108 months	01.12.1962	NA	-	1	1	0	0
Mr.	Bhanwar Lal Chandak	PAN-ACLPC2875N DIN-00057273	Non-Executive / Independent	31.03.2004	01.10.2019	-	108 months	15.08.1955	NA	-	1	1	2	1
Mr.	Rajendra Kumar Gupta	PAN-ADPPG2816A DIN-00012336	Non-Executive / Independent	13.11.2017	14.11.2022	-	71 months	04.05.1949	Yes	31.08.2022	1	1	2	1

Whether Regular Chairperson appointed- Yes

Whether Chairperson is related to Managing Director or CEO-No

\* PAN number of any director would not be displayed on the website of Stock Exchange

\* Category of directors means Executive / Non-Executive / Independent / Nominee. if a director fits into more than one category write all categories separating them with hyphen

\* To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

Note 1: No. of Memberships in Audit / Stakeholder Committee also includes the Chairmanship.

<b>II. Composition of Committees</b>					
Name of Committee	Whether regular Chairperson Appointed	Name of Committee Members	Category (Chairperson / Executive / Non-Executive / Independent / Nominee) &	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	Mr. B. L. Chandak	Chairman--Non Executive--Independent	31.03.2004	
		Mr. R. K. Gupta	Non Executive--Independent	13.11.2017	
		Mr. Y. K. Daga	Non Executive--Independent	01.04.2006	
		Mr. C. K. Dhanuka	Non Executive--Non Independent	09.02.2017	
2. Nomination & Remuneration Committee	Yes	Mr. Y. K. Daga	Chairman--Non Executive--Independent	31.01.2007	
		Mr. B. L. Chandak	Non Executive--Independent	31.03.2004	
		Mr. Anil Bhutoria	Non Executive--Independent	14.02.2011	
		Mr. R. K. Gupta	Non Executive--Independent	13.11.2017	
3. Stakeholders Relationship Committee	Yes	Mr. R. K. Gupta	Chairman--Non Executive--Independent	13.11.2017	
		Mr. B. L. Chandak	Non Executive--Independent	31.03.2004	
		Mr. Y. K. Daga	Non Executive--Independent	31.01.2006	
		Mrs. Aruna Dhanuka	Non Executive--Non Independent	13.11.2017	
4. Corporate Social Responsibility Committee	Yes	Mr. B. L. Chandak	Chairman--Non Executive--Independent	09.11.2015	
		Mr. Y. K. Daga	Non Executive--Independent	09.11.2015	
		Mrs. Aruna Dhanuka	Non Executive--Non Independent	09.11.2015	
Category of directors means Executive / Non-Executive / Independent / Nominee. If a director fits into more than one category write all categories separating them with hyphen.					
Note: The Board decided to dissolve the Risk Management Committee as the same is not applicable as per the Companies Act, 2013, SEBI (LODR) Regulations, 2015 and RBI - Non-Banking Financial Companies – Corporate Governance (Reserve Bank) Directions, 2015.					
<b>III. Meeting of Board of Directors</b>					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meetings (in number of days)
11.08.2023	11.11.2023	Yes	8	4	91
	15.12.2023	Yes	4	2	33
* to be filled in only for the current quarter meetings					
<b>IV. Meeting of Committees</b>					
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of independent directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings (in number of days)*
Audit Committee 11.11.2023	Yes	4	3	11.08.2023	91
Audit Committee 15.12.2023	Yes	3	2	11.08.2023	33
Stakeholder Relationship Committee 10.10.2023	Yes	4	3	11.08.2023	
Nomination and Remuneration Committee 15.12.2023	Yes	2	2	11.08.2023	
* This information has to be mandatorily be given for Audit Committee, for rest of the committees giving the information is optional.					
**to be filled in only for the current quarter meetings					

**V. Related Party Transactions**

Subject	Compliance Status (Yes/No/NA) <sup>refer note below</sup>
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	N.A
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by	N.A

**Note**

- In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- If status is "No" details of non-compliance may be given here.

**VI. Affirmations**

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
  - Audit Committee - **Yes**
  - Nomination & Remuneration Committee - **Yes**
  - Stakeholders Relationship Committee - **Yes**
  - Risk Management Committee (applicable to the top 1000 listed entities) - **NA**
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. - **Yes**
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. - **Yes**
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: **Nil**

**Details of cyber security incidence**

Whether as per Regulation 27 (2)(ba) of SEBI (LODR), 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No
Date of the event	Nil
Brief Details of the event	Nil

For Mint Investments Limited


Gajal Agarwal  
Company Secretary & Compliance Officer

ACS 61193



Note: Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.